

# **Retrospective Study**

# Trends in Spatiotemporal Exposure to Air Pollutants and Adult Cardiovascular Emergency Room Visits in the Greater Pittsburgh, Pennsylvania, USA

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## ABSTRACT

# Introduction

The acute effects of air pollution and cardiovascular disease (CVD) have been studied, but very few studies have focused on spatiotemporally modeled exposure to air pollutants at the population level. This study aims to examine the short-term association of fine particulate matter ( $PM_{2.5}$ ), Ozone ( $O_3$ ), Nitrogen Dioxide ( $NO_2$ ) and Sulfur Dioxide ( $SO_2$ ) and CVD emergency room visits (ERV) in Allegheny County for a 13-year period using a case-crossover study design.

#### Aim

We sought to estimate the effects of acute exposure to these four pollutants adjusting for temperature on CVD ERV and to compare outcomes in 1999-2005 compared to 2006-2011.

#### Methods

Land-use regression was used to model the ground level exposures to  $PM_{2.5}$ ,  $O_3$ ,  $NO_2$  and  $SO_2$ . CVD ER visits were requested from the local hospitals of the two health networks in Allegheny County, which operate the majority of the ER services. The discharge International Classification of Diseases-9 (ICD-9) codes were used to identify the CVD cases and CVD subgroups. We linked the Zone Improvement Plan (ZIP) code level air pollution data with the patients' ZIP code (residence) to determine the individual level exposure estimation of both case days and control days. Conditional logistic regression with multi-pollutant and distributed lags of 0-3-days was applied to estimate the effect of acute exposure of these pollutants to CVD ER visits (ERV), adjusting for temperature.

#### Results

In the overall analyses, for every interquartile increase of  $O_3$  exposure (25.52 ppb), there was a 6.6% (95% CI: 0.8%-12.7%) increase in the odds of an acute myocardial infarction ERV. This was consistent across both time periods. Among women and Black ERV, we observed an association of  $PM_{2.5}$  with acute myocardial infarction, and with ischemic heart disease. Some of these associations persisted in the later years of the study period. The gaseous pollutants (NO<sub>2</sub>, SO<sub>2</sub> and O<sub>3</sub>) were shown to increase risk of cardiovascular events in both time periods.

### Conclusion

We found an association of  $PM_{2.5}$  and  $NO_2$  with CVD ER visits, and this association persisted in the stratified analyses, as well as in the later years with lower exposure levels. The findings suggest that further actions to reduce the pollution level in this area should be taken. Ozone and  $NO_2$  were related to increased risk for all CVD, ischemic heart disease (IHD) and acute myocardial infarction (AMI) underscoring the importance of gaseous pollutants and their effect on coronary heart disease (CHD) risk.

#### Keywords

Multi-pollutants; Cardiovascular emergency room visits; Spatiotemporal; Acute; Case-crossover.

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## INTRODUCTION

ardiovascular disease (CVD) is the leading cause of death ∠both in the U.S. and worldwide.<sup>1,2</sup> Short-term health effects of air pollution on CVD have been studied over the years.<sup>3-5</sup> Several plausible biological mechanisms connecting air pollution and CVD events have been proposed: pollutants can increase the risk of arrhythmia, increase systemic inflammation and oxidative stress, and increase the plaque vulnerability, and thus, trigger CVD events.<sup>3,6</sup> Based on this evidence, the U.S. Environmental Protection Agency (U.S. EPA) has implemented dozens of air quality related policies and regulations over the past few decades to reduce air pollution levels in the U.S.<sup>7,8</sup> Thus, the levels of PM25, NO2 and SO2 have decreased dramatically.9 We are seeking to answer if these lower levels of air pollutants are associated with a lower risk of CVD emergency room events over time. Krall et al<sup>10</sup> reported in 2018 on a five city study of 12 pollutants for the period 2002-2008 for cardiovascular and respiratory emergency room visits (ERV). They found positive and significant effects of NO<sub>2</sub> for CVD events.<sup>10</sup> Shi and colleagues focused on the low concentration of PM25 exposure ( $\leq 10 \ \mu g/m^3$  and CVD mortality); however, an effect of PM<sub>25</sub> on lag 0 and lag 1 for mortality was still observed.<sup>11</sup>

In a real-world setting, individuals are not exposed to one pollutant at a time, as multiple forms of air pollution co-exist in the environment. For the papers with multi-pollutants, authors often are only able to access and investigate two-pollutant models, e.g.  $PM_{2.5}$  and  $O_3$ , i.e. the effect of one pollutant adjusted for the other,<sup>12-16</sup> while, very few have studied an additional two gaseous criteria pollutants:  $NO_2$  and  $SO_2$ . These two pollutants were also related to CVD outcomes in population based studies,<sup>17-20</sup> and possibly through the oxidative stress pathway. In this paper, we are interested in the effect of multiple pollutants on CVD ERV. Moreover, we want to test whether the effect of one pollutant exists after adjusting for other pollutants.

In recent years, an increasing number of studies have used modeled data for exposure assessment in epidemiologic studies.<sup>11,21</sup> Most of this literature utilizes aerosol optical depth (AOD) as the modeling method to address the spatiotemporal exposure of  $PM_{2.5}$  among the population, since AOD can only model the exposure level of particles.<sup>21</sup> While taking into account gaseous pollutants, surface level spatiotemporal modeling is required.<sup>22,23</sup> A paper using spatiotemporal modeling of both  $PM_{2.5}$  and ozone exposure revealed a higher variation of the exposure among the study population, and a stronger association between  $O_3$  and asthma hospitalization and emergency room visits in adults and children.<sup>22,23</sup> Furthermore, spatiotemporal modeling can be adopted in the case-crossover design.

In this paper, we examined the acute association between four spatiotemporal modeled criteria air pollutants ( $PM_{2.5}$ ,  $O_3$ ,  $NO_2$ and  $SO_2$ ) and CVD emergency room visits in Allegheny County from 1999-2011 using a case-crossover study design.

# METHODS

# **Emergency Room Visits: Data Collection**

We requested ERV data information on both emergency room dis-

charge and emergency room admissions between January 1, 1999 to December 31, 2011, inclusive, from the hospitals of the two local healthcare networks. These two healthcare networks manage the majority of the hospitals and emergency departments in allegheny county (AC). Primary discharge diagnoses based on the first 3 digit of International Classification of Diseases-9 (ICD-9) code of 390-459 were used to identify all the CVD cases. The requested dataset contained the following variables: hospital, pseudo identification number (ID), admit date, discharge date, age, sex, race, Zone Improvement Plan (ZIP) code of residence, county of residence, primary and secondary discharge diagnosis (ICD-9 code), discharge disposition (discharged or admitted to in-patient). The study population was restricted to those  $\geq$ 40-years within AC. We excluded the cases of the same patient readmitted to emergency room within 30-days with the same diagnosis,<sup>24</sup> because these readmitted cases may be due to symptoms related to the earlier event. Besides all CVD events, we also looked at the CVD subgroups. These subgroups are heart failure (HF, ICD-9: 428), ischemic heart disease (IHD, ICD-9:410-414 and 429), acute myocardial infarction (AMI, ICD-9: 410), arrhythmia (AR, ICD-9: 426-427), stroke (ICD-9: 430-438), peripheral vascular disease (PVD, ICD-9: 440-448).<sup>25</sup> This study is approved by the Institutional Review Board (IRB) of the University of Pittsburgh and all of the participant hospitals.

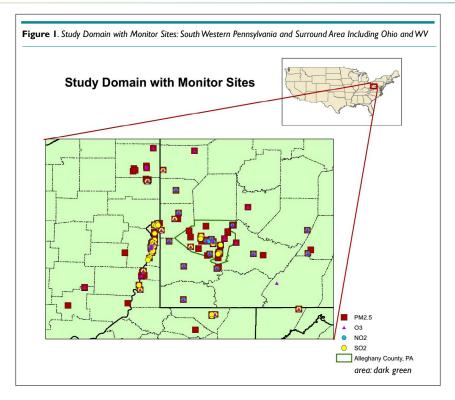
## **Exposure Modeling**

Daily concentrations of fine particulate matter (PM<sub>25</sub> mass), ozone (O<sub>3</sub> maximum 8-hour average), sulfur dioxide (SO<sub>2</sub>), and nitrogen dioxide (NO2) from monitoring networks operated by the United States Environmental Protection Agency (US EPA) and six other organizations in AC and 34 surrounding counties were used in the exposure modeling. These observations were modeled using space-time co-kriging with satellite remote sensing of aerosol optical depth and land use regression to produce daily estimates of exposure to PM25 for each of the 97 ZIP codes in AC. PM25 mass concentrations were calibrated before the exposure estimates were constructed. The details for the calibration are detailed in many studies.<sup>26</sup> Space-time kriging and land use regression were used to construct daily exposure estimates for O<sub>3</sub>, SO<sub>2</sub>, and NO<sub>2</sub> for each ZIP code. Daily exposure estimates by ZIP code for PM25 mass concentrations used an enhanced form of land use regression (LUR) and space-time co-kriging with satellite remote sensing of aerosol optical depth. Daily exposure estimates by ZIP code for O<sub>3</sub> maximum 8 hr., SO,, NO, concentrations used a similar approach using space-time kriging. See Figure 1 for study domain and monitor sites in southwestern Pennsylvania, Ohio and West Virginia.

## Statistical Models

The referent days were selected as the same day of the week and  $\pm$  one and two-weeks of the CVD case admitted day. Thus, there are four referent days per case. Conditional logistic regression with distributed lags of lag 0-lag 3 was applied to estimate per interquartile range (IQR) increase of the air pollutant associated with the odds ratio of the CVD ERV for all CVD and CVD subgroups.<sup>27</sup> Because previous studies showed that short lags were related to CVD outcomes, we chose not to include additional lags in the





	All CVD (n=181789)		Heart Failure (n=36045)		Acute Myocardial Infarction (n=18751)		lschemic Heart Disease (n=34627)		Arrhythmia (n=30646)		Stroke (n=31128)		PVD (n=4669)	
	N	%	N	%	N	%	N	%	Ν	%	N	%	Ν	%
Gender														
Female	99435	54.7	20457	56.8	9012	48.1	16463	47.5	16621	54.2	17854	57.4	2430	52.
Male	82350	45.3	15588	43.2	9739	51.9	18162	52.5	14025	45.8	13273	42.6	2239	47.9
Race														
White	144110	81.1	29025	81.4	16233	87.5	29413	85.9	25860	87.0	25273	82.6	3687	81.
Black	32103	18.1	6437	18.1	2160	11.6	4512	13.2	3645	12.3	5003	16.4	810	17.9
Other	1405	0.8	187	0.5	156	0.8	301	0.9	218	0.7	316	1.0	28	0.6
Deposition														
Discharge	47969	26.4	3902	10.8	2103	11.2	6026	17.4	10896	35.6	5698	18.3	1440	30.8
Transfer to In-patient	132738	73.0	32055	88.9	16634	88.7	28369	81.9	19555	63.8	25245	81.1	3214	68.8
Unknown	1082	0.6	88	0.2	14	0.1	232	0.7	195	0.6	185	0.6	15	0.3
Age (years)														
40-49	16521	9.1	1362	3.8	1373	7.3	2980	8.6	2464	8.0	1799	5.8	379	8. I
50-59	25663	14.1	2954	8.2	2868	15.3	5898	17.0	4263	13.9	3689	11.9	602	12.9

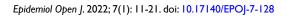
model.<sup>25,28-30</sup> The main analyses were based on using a multi-pollutant model which included all four air pollutants and were adjusted for the mean temperature. We also stratified the analyses by age 10-year age groups, sex, race, disposition, season and early (1999-2005) and late (2006-2011) of study period. Statistical analysis system (SAS) software version 9.3 was used for the statistical analyses (SAS Institute Inc., Cary, NC, USA).

## RESULTS

Over the 13-years, there were a total of 181,789 Emergency De-

partment (ED) visits within AC that were identified (Table 1). The number of cases included 18.1% who were African American (AA), which is higher compared to the population of 12.9% of AA in AC. There were about 57.3% of cases >70-years-old and more than 30% of cases were >80-years-old.

Table 2 summarizes the spatiotemporal exposure level of pollutants by cases on the same day. The mean  $PM_{2.5}$  exposure was 14.2 µg/m<sup>3</sup>, with the maximum value at 57.4 µg/m<sup>3</sup>; the mean  $O_3$  exposure was 37.9 ppb, with the highest value at 137.8 ppb. The maximum values of  $NO_2$  and  $SO_2$  over the study period were





relatively low. The correlations between the pollutants were low to moderate (Table 3). This indicated no significant multi-collinearity among the pollutants. Over the time period of the study, there was a long-term trend of pollutant level decrease for PM<sub>2.5</sub> at 0.35 µg/m<sup>3</sup> per year, NO<sub>2</sub> at 0.36 ppb per year, and SO<sub>2</sub> at 0.46 ppb per year, but there was no significant change of O<sub>3</sub> exposure level over this study period.

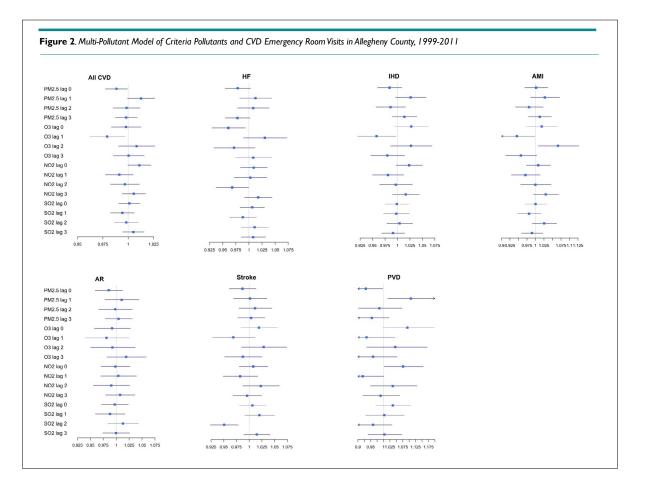
Cases (N=181789)	Mean	Standard Deviation	Minimum	Maximum	IQR
PM <sub>2.5</sub> (µg/m³)	14.17	7.20	2.77	57.44	8.41
O <sub>3</sub> (ppb)	37.91	17.67	-7.11	137.84	25.52
NO <sub>2</sub> (ppb)	12.10	5.94	0.17	55.75	7.47
SO <sub>2</sub> (ppb)	7.42	3.71	1.38	44.21	4.48
Temperature (°C)	11.20	9.86	-17.50	29.67	16.67

Exposi	ire Metric	s at Conci	relation C urrent Cas		
	PM <sub>2.5</sub>	<b>O</b> <sub>3</sub>	NO2	SO2	T mean
PM <sub>2.5</sub>	1.000				
O <sub>3</sub>	0.313	1.000			
NO <sub>2</sub>	0.442	-0.218	1.000		
SO <sub>2</sub>	0.490	-0.116	0.607	1.000	
T	0.402	0.714	-0.195	-0.163	1.000

## **Entire Population**

In the primary analyses of all visits for the total period of 1999-2011,  $O_3$  on lag Day 2 was associated with an increase in acute myocardial infarction emergency room visits (AMI ERV). Per IQR increase of  $O_3$  exposure (25.52 ppb), there was 6.6% (95% CI: 0.8%-12.7%) increase in the odds of an AMI ERV. We also found that an IQR increase of  $PM_{2.5}$  on lag Day 1 was associated with a 10.7% (95% CI: 1.6% - 20.5%) increase in the odds of a PVD ERV; and, an IQR increase of NO<sub>2</sub> on lag 0 was related to 7.6% (95% CI: 0.3%-15.5%) increase in odds of PVD ERV. Comparing early years (1999-2005) to later years (2006-2011); ozone for the early period and later period was related to increased risk of AMI, (lag 0, 1.067% (95% CI, 1.007-1.129) and in the later years, Lag 2, 1.109%, (1.01-1.21) ) respectively (Figure 2).

We noted that  $PM_{2.5}$  at lag 1 and lag 2 was related to increased risk of AMI, as well as  $SO_2$  at lag 2 among the Black patients of the ED visits (not shown). As previously stated, the data collected directly from ERV can also capture patients discharged on the same day. The proportion in this category contains a slightly higher proportion of those who were AA (22%). Among these patients, we found that with an IQR increase of  $SO_2$  on the previous day, there was a 7.9% increase (95% CI: 2.1%-14.1%) in ERV due to stroke; and with an IQR increase of  $PM_{2.5}$  on the previous day, there was a 21.6% increase (95% CI: 4.0%-42.2%) in the PVD ERV. The confidence intervals for these two observations were large however.

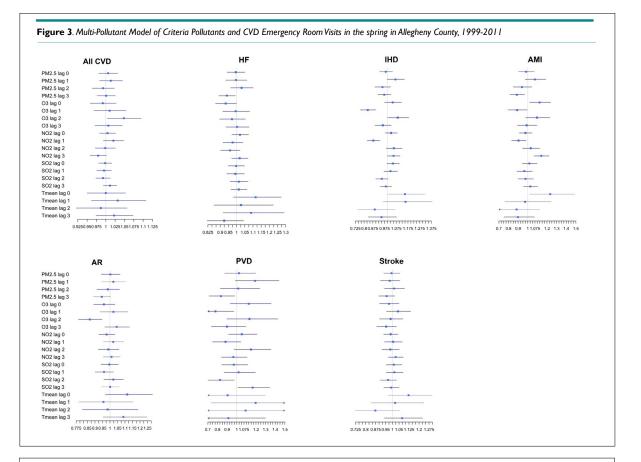


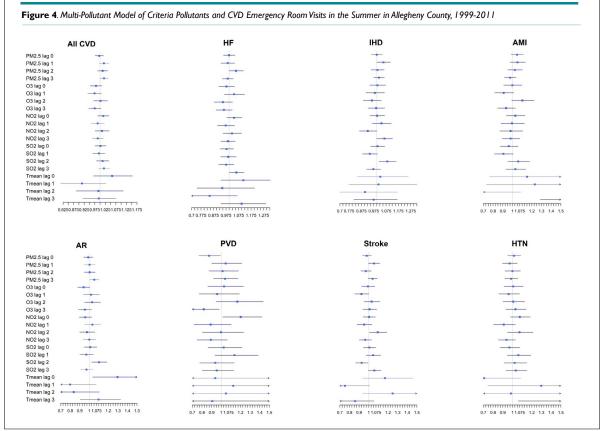


### **Temperature Effects**

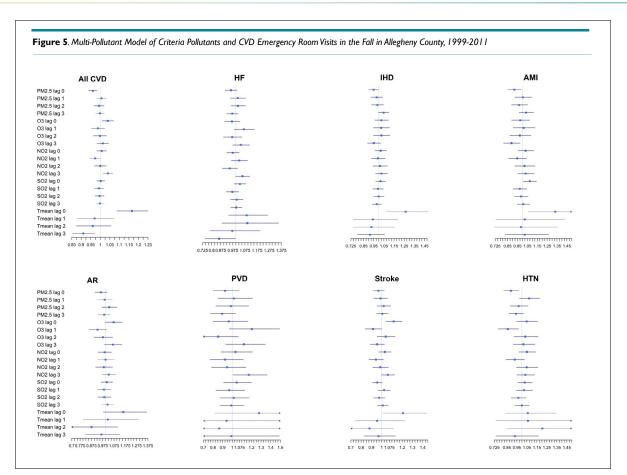
When stratified by season, (Figures 3-6) we also presented the

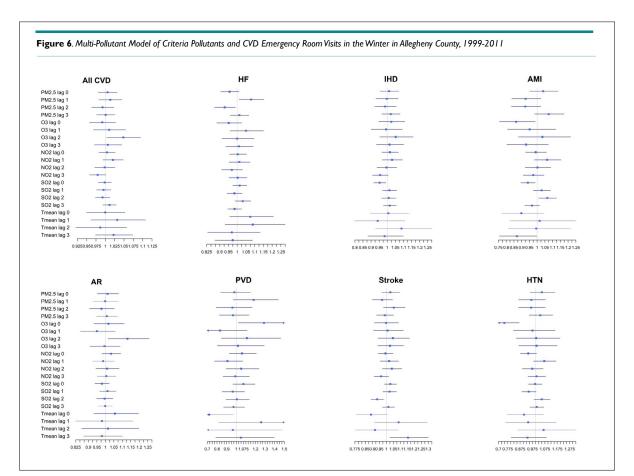
temperature effect in each model. As this study was using a casecrossover design, we cannot add in season as a spline in the overall model, and temperature varied largely by season. Therefore, we











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presented the effect of mean temperature only in this stratification analyses. In the spring, we found that at lag 0, per IQR, higher mean temperature was related to All CVD increased risk of ERV, (OR=1.12, 95% CI: 1.06-1.19), IHD (OR=1.15, 95% CI: 1.00-1.32) and AMI (OR=1.23, 95% CI: 1.02-1.49). In the fall, we observed a similar association between mean temperature and these outcomes with a slightly higher effect size; in addition, we also found that the same day IQR increase of temperature also contribute to 24.6% (95% CI: 6.4%-46.0%) higher stroke emergency room visit. We also noted the effect of NO<sub>2</sub>, SO<sub>2</sub> on some outcomes in the warm period of the year, but the effect of PM<sub>2.5</sub> was revealed in the summer and winter. The O<sub>3</sub> was not significantly related to any of the outcomes in the summer, but in other seasons.

# Early versus Later Period of Years

During years 1999-2005, the same day exposure NO<sub>2</sub> and O<sub>3</sub> was significantly associated with All CVD, IHD and AMI; while during 2006-2011, an effect of NO<sub>2</sub> and O<sub>3</sub> on IHD and AMI was also noted, but at a longer lag, (lag 2 or lag 3) (Figures 7 and 8). We additionally found that PM<sub>25</sub> at lag 1 (OR=1.21, 95% CI: 1.06-1.39) and SO<sub>2</sub> at lag 0 (OR=1.18, 95% CI: 1.06-1.32) was related to PVD at the later period. However, the magnitude of the point estimates between the exposure and outcomes was not very much different.

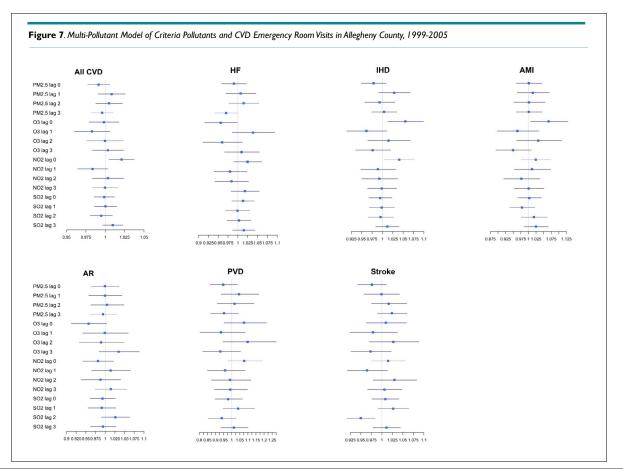
# DISCUSSION AND CONCLUSION

In this paper, we assessed the short-term effects of multiple pollutants ( $PM_{2.5}$ ,  $O_3$ ,  $NO_2$  and  $SO_2$ ) on emergency room visits for CVD in AC, PA over the years 1999-2011. We noted that overall, the Openventio PUBLISHERS

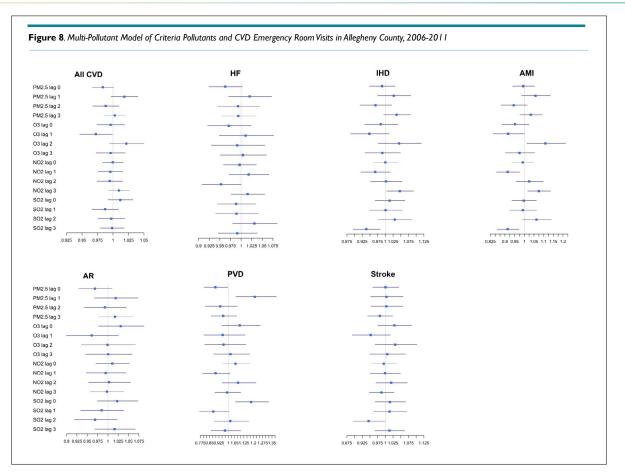
addition of the four criteria air pollutants provided a fuller picture of their effects on various population subgroups. This may reflect differences in their exposure and or specific vulnerabilities. In the whole population, we found that O3 was related to AMI at lag 2, and  $PM_{25}$  at lag 1 and  $NO_2$  at lag 0 was related to PVD.

Similar effects were noted with both time periods reflecting an effect of ozone and the risk of AMI. Ozone had not changed over time in the Pittsburgh region. Nitrogen dioxide proved to be a significant risk factor and exposure related to an overall CVD risk of 1.02 in the early time period and  $PM_{25}$  and  $SO_2$  was related to the risk of PVD in the later time period.  $PM_{25}$  appeared to affect the black population for AMI for the total time period.

In the overall analyses, we found an effect of O<sub>2</sub> on AMI. This effect existed among whites, and in the early study period (1999-2005), and was more profound in the late study period (2006-2011) and spring. The findings from the literature on O<sub>3</sub> and AMI morbidity confirms our findings related to ozone and CVD morbidity. In a fourteen-city study in Spain, each 10-ppb increase of O<sub>3</sub> exposure at lags 2-3 was related to 0.7% (95% CI: 0.3%-1.0%) higher CVD hospitalization.<sup>31</sup> A study based on the AMI registry in Southwest of France also established an association between O<sub>3</sub> at lag 0 and lag 1 and AMI mortality and morbidity.<sup>32</sup> Conversely, Wang et al<sup>33</sup> did not observe an effect of O<sub>3</sub> on AMI hospital admission in Alberta, Canada, with a much lower O3 level compared to the U.S. O<sub>3</sub> is a secondary photochemical pollutant, which means the formation of O3 relied on the precursors of NO<sub>2</sub>, volatile organics, and ultraviolet strength.<sup>34</sup> O<sub>3</sub> is a secondary photochemical pollutant, which means the formation of O<sub>3</sub> re-







lied on the precursors and ultraviolet strength.  $O_3$ , as well as  $NO_2$  and  $SO_2$ , are oxidizing agents, so that they add oxidative stress to the body system; and therefore can harm human health.<sup>35,36</sup> Thus, there are plausible mechanisms to connect  $O_3$  and CVD outcomes.

There are very few studies that examined the association between air pollutants and PVD. Dominici et al found that 10 µg/ m<sup>3</sup> PM<sub>25</sub> at lag 0 was related to a 2.11% 95% CI: 0.79%-3.40%) higher PVD hospitalization using Medicare claims data within a national sample of the U.S. for 1999-2002.37 The study of the same area and same time period also found a larger effect size related to PVD mortality.<sup>16</sup> Dabass et al<sup>16</sup> found that an increase of 10  $\mu g/m^3 PM_{25}$  at lag 5 contributed to 7.6% increase in (95% CI: 0.05%-15.7%) PVD mortality. On the other hand, we noted that per IQR increase of PM25 at lag 1 resulted in a 10.7% (95% CI: 1.6%-20.5%) increase in odds of PVD emergency room visit. The sample size for PVD is much smaller than that seen for AMI, IHD or CVD making this finding somewhat more problematic and demonstrating very large confidence limits. Unfortunately, there was no published literature trying to establish a relationship between NO<sub>2</sub> and PVD. As an oxidative agent, NO<sub>2</sub> can plausibly contribute to PVD events.38

When examining the association between air pollution and CVD ERV by race, we found that among white men and women, there was an association of  $O_3$  at lag 2 and increased risk of AMI, and additionally we noted that  $NO_2$  at lag 3 was related to HF and AMI; while among African American men and women,  $PM_{2.5}$  and  $SO_2$  contributed to AMI. The different observations among the two racial groups may indicate disparities in residential characteristics, residential locations (living close to emission sources) and or a potentially different effect by race.

In the early and late periods of the study, each of the pollutants continued to demonstrate increases in risk associated with IQR increases in exposure reflecting that there remains a linear no threshold dose of these pollutants. We did not find a significant decrease in the association of air pollution and health outcomes. This may in part be due to the fact that ozone did not decrease over time; whereas the other three pollutants had an almost 25% reduction in levels. In the early period, we found that same day O<sub>2</sub> was related to IHD and AMI, and the same day NO2 was associated to all CVD and IHD. While in the late period, we observed that the effects of pollutants occurred at a longer lag. O<sub>2</sub> at lag 2 was now related to AMI and the effect size was larger (10.1% vs. 6.6% at early period at lag 0); and NO<sub>2</sub> at lag 3 was not related to IHD and AMI, and the effect sizes were similar as the early period. We also observed the effect of PM<sub>25</sub> at lag 1 and SO<sub>2</sub> at lag 0 on PVD in the late period. Our findings of the short-term exposures over the early versus later study period showed very little difference for PM25 for most of the CVD outcomes (Figures 7 and 8). However, we noted a longer lag at the later period than the early period. We found the same-day effect of NO2 and O3 was significantly associated with All CVD, IHD and AMI; while in the late period years 2006-2011, the effect NO<sub>2</sub> and O<sub>3</sub> at lag 2 or lag 3 were observed. A systematic review and meta-analysis also found a potential threshold effect at 5.8  $\mu$ g/m<sup>3</sup> in the relationship of air pollution and heart failure.<sup>39</sup> This threshold is based on the poten-

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tial linear dose-response relationship of the literatures, but there was no study conducted in the area with exposure level that low, neither in our study. There may be a threshold for  $PM_{2.5}$  and CVD outcomes which has yet to be observed or a linear no threshold dose may prevail.

Although we matched with weekday and hypothesized that their behavior was the same on the same weekday, we do not have any evidence to confirm it. Another limitation is the potential multiple comparisons related to this paper. A test was considered statistically significant when the p<0.05, and no adjustment was made to this significance threshold.

The strengths of our study include the use of modeled data as our exposure assessment involving both gaseous as well as particulate matter. This can show a better variation of the exposure of the participants.<sup>23</sup> Additionally, we used a multipollutant model with distributed lags, adjusting for other pollutants, and the effects across all the selected lags. We found an association between air pollution and CVD ERV which persisted in the stratification analyses, and in the later years with lower exposure levels. This study with over 180,000 acute events over a 13-year period, stresses that these four criteria air pollutants exert significant independent effects on cardiovascular risk that are not limited to  $PM_{2.5}$ . Gaseous pollutants,  $O_{32}$ ,  $NO_{22}$ , and  $SO_{22}$ , modelled at a smaller resolution as local sources of exposure exert a significant influence over Cardiovascular and other health outcomes.

Limitations should include modeling air pollution data with limited monitoring locations. An additional limitation is that the primary modeling of air pollution data was done within AC with limited monitoring locations, as noted in Figure 1.

# ACKNOWLEDGEMENT

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# CONFLICTS OF INTEREST

The authors declare that they have no conflicts of interest.

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